

Firm Name	A/T at Transferring Firm - Req Own Ppwk	A/T at TCA - Req Own Ppwk
AIG	IRA	IRA
AIG or AIG Retirement	QP	IRA/Rollover
Allianz	IRA	IRA
American Equity Ins Co	NQ	Single/Joint
American Funds	QP	IRA/Rollover
American General	QP	IRA/Rollover
American Investors Life	QP	IRA/Rollover
American Skandia/Prudential	QP	IRA/Rollover
American United Life	ALL	IRA/Rollover
Ameritrade/Riversource	QP	IRA/Rollover
AXA & AXA Equitable	ALL	IRA/Rollover
Blue Chip Federal Credit Union	IRA	IRA
Charles Schwab	QP	IRA/Rollover
Charles Schwab		
Chase Retirement Services		
Citigroup	QP	IRA/Rollover
Commonwealth Annuity	ALL	ALL
Edward Jones	IRA	IRA
Equi-Vest	Roth	Roth
Fidelity Retirement	ALL	IRA/Rollover
Fifth Third Bank Trust	QP	IRA/Rollover
Folio FN		
Franklin Templeton	QP	IRA/Rollover
General America	TSA	IRA/Rollover
ING or ING Retirement	QP	IRA/Rollover
Jefferson National	TSA	IRA/Rollover
Jefferson Pilot	IRA	IRA
John Hancock Retirement	QP	IRA/Rollover
Lincoln Financial Group	QP	IRA/Rollover
Lincoln Nat'l Life	Single/Joint	Single/Joint
Merrill Lynch	Merrill will contact the customer upon receipt of liquidation instx	
MFS	QP	IRA/Rollover
National Life Ins Company	IRA	IRA
National Western Life	IRA	IRA
Nationwide Financial	ALL	ALL
Nationwide Life Ins	IRA	IRA
NFS		
Northwestern Mutual	Roth	Roth
Oakmark Funds	Roth IRA	Roth IRA
Ohio National	ALL	ALL
Oppenheimer	QP	IRA/Rollover
Pacific Life	ALL	ALL
Pershing	Pershing also requires UTMA or UGMA circled, does not allow more than one acct/transfer, will not accept instructions as percentages, must be \$\$ amounts	

Pershing - 401K	QP	IRA/Rollover
Phoenix Life Ins.	IRA	IRA
Pioneer Investments	QP	IRA/Rollover
Principal Annuity	QP	IRA/Rollover
Protective Life	QP	QP
Prudential Financial	QP	IRA/Rollover
Prudential Financial Glen Allen, CA		
Putnam/Legacy Financial	QP	IRA/Rollover
RBC		
Scottrade		
Securities America/NFS		
Security Benefit Group	ALL	ALL
Sun Life	QP	IRA/Rollover
Symetra Financial	QP	IRA/Rollover
T. Rowe Price	QP	IRA/Rollover
TD Ameritrade	Retail - NO, Inst'l - yes, Inst'l = acct starts w/ 9 or 2	
The Hartford/Nexus Health Sys	QP	IRA/Rollover
TIAA CREF	ALL	IRA/Rollover
UBS	IRA	IRA
Vanguard	QP	IRA/Rollover
Western Reserve Life		

For advisor use only. Subject to change and current only as of 5/1/2015. Not a comprehensive list and if you are uncertain, it is best to check with the residing custodian.