

The Investment Advisors Act of 1940 requires Registered Investment Advisors, as fiduciaries to act in the best interest of their clients. In order to help us do so, we ask that you provide us with the following information. This information is confidential and will only be used by Weatherstone Capital Management to help you achieve your unique financial goals.

Investor / Entity Information

First, Middle, Last Name (Entity Name):		Tax ID:	Date of Birth:	Phone Number: ()	
Physical Address:		City:	State:	Zip:	
Mailing Address: (If different)		City:	State:	Zip:	
Employer: (If retired, indicate previous occupation)			Employer address: City / State / Zip		
Email Address:	Driver's license or U.S. Passport ID Number:	What year do you expect to retire?	Citizenship:	Marital Status:	
Statements emailed: Yes No	State:		USA Other		

Additional Investor / Entity Information

First, Middle, Last Name:		Tax ID:	Date of Birth:	Phone Number: ()	
Physical Address:		City:	State:	Zip:	
Mailing Address: (If different)		City:	State:	Zip:	
Employer: (If retired, indicate previous occupation)			Employer address: City / State / Zip		
Email Address:	Driver's license or U.S. Passport ID Number:	What year do you expect to retire?	Citizenship:	Marital Status:	
	State:		USA Other		

Investment Experience	Current Tax Bracket	Household Annual Income	Net Worth (minus residence)
_____ None	_____ 15% or below	_____ Less than \$25,000	_____ Under \$100,000
_____ Limited	_____ 16% to 28%	_____ \$25,000 to \$50,000	_____ \$100,000 to \$250,000
_____ Moderate	_____ Above 28%	_____ \$50,000 to \$100,000	_____ \$250,000 to \$500,000
_____ Extensive		_____ \$100,000 to \$250,000	_____ \$500,000 to \$1,000,000
		_____ Over \$250,000	_____ Over \$1,000,000

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

INVESTMENT PROFILE

Questions 1 – 4 need to be answered for **each account** that is to be established

With the questions below, we have assigned each response a point value suggesting how it should influence your investment approach. Using the table will help identify which of our investment programs is best suited to your particular situation. The score is also designed to indicate the maximum level of risk you can comfortably tolerate in your investment portfolio. History has shown that if you invest in a program that does not correspond to your level of risk tolerance, as indicated by the classification score, there is a high probability that you will be unwilling to remain committed to your investment program(s) during periods of market volatility or through a full market cycle.

(Select the most appropriate response)

- 1) Imagine that you are making an investment of \$20,000. Below are four scenarios for potential gain or loss in one year. Which investment would you be most comfortable owning?*

	Potential Gain	vs.	Potential Loss
A = Investment 1	\$1,600 (8%)		\$400 (-2%)
B = Investment 2	\$3,800 (19%)		\$2,100 (-10.5%)
C = Investment 3	\$7,200 (36%)		\$3,600 (-18%)
D = Investment 4	\$10,800 (54%)		\$4,800 (-24%)

- 2) Imagine that you made an investment 5 years ago, which has steadily earned 10% a year. If your investment was to lose 20% in the following year, what would you do?

- A = I would sell all of the investment out of fear that I would lose most if not all of the money I invested
- B = I would sell some, but not all, of the investment to reduce my exposure to more losses
- C = I would do nothing, understanding that such price drops are a normal part of investing
- D = I would buy more of the investment, looking at the price drop as an opportunity to buy at lower prices

- 3) How long do you plan to allow your investments to grow before taking any significant withdrawals?

- A = Less than 2 years
- B = 2 to 5 years
- C = 6 to 8 years
- D = More than 8 years

- 4) Which best describes your primary investment goal?

- A = To have emphasis on capital preservation
- B = To create a blend between capital preservation and growth
- C = To have an emphasis on growth with capital preservation as a secondary objective
- D = To have an emphasis on long-term growth with little concern for short and intermediate-term fluctuations in value

After answering the questions for each account you plan to establish, add the totals from the table below and write this number on the following page under "classification."

Score	Risk classification
A = 2	8 – 14 Points = Conservative
B = 4	16 – 22 Points = Moderate
C = 6	24 – 32 Points = Aggressive
D = 8	

Account One*See individual program sheets to match the corresponding classification to the suitable investment program(s).**Account minimums are \$25,000. No less than \$12,500 of that amount may be placed in each strategy.*

Account Registration Title _____ Type _____ (IRA, Joint, Corp, Trust, etc.)

Strategy(ies) Selected _____ (% or \$), _____ (% or \$),

Cont'd if necessary _____ (% or \$), _____ (% or \$)

Total approximate Value \$ _____

Risk Classification

Answers to Profile Questions (*Write letter in blank from questions above*) 1) _____ 2) _____ 3) _____ 4) _____ = _____
(See table above)**Account Two***If applicable**Account minimums are \$25,000. No less than \$12,500 of that amount may be placed in each strategy.*

Account Registration Title _____ Type _____ (IRA, Joint, Corp, Trust, etc.)

Strategy(ies) Selected _____ (% or \$), _____ (% or \$),

Cont'd if necessary _____ (% or \$), _____ (% or \$)

Total approximate Value \$ _____

Risk Classification

Answers to Profile Questions (*Write letter in blank from questions above*) 1) _____ 2) _____ 3) _____ 4) _____ = _____
(See table above)**Account Three***If applicable**Account minimums are \$25,000. No less than \$12,500 of that amount may be placed in each strategy.*

Account Registration Title _____ Type _____ (IRA, Joint, Corp, Trust, etc.)

Strategy(ies) Selected _____ (% or \$), _____ (% or \$),

Cont'd if necessary _____ (% or \$), _____ (% or \$)

Total approximate Value \$ _____

Risk Classification

Answers to Profile Questions (*Write letter in blank from questions above*) 1) _____ 2) _____ 3) _____ 4) _____ = _____
(See table above)*** WCM cannot manage your account if you have no risk tolerance.****If you have selected one or more programs that differ from what the Classification score indicates, please initial below**

I/we understand that the investment program(s) in which I/we prefer to invest differ from the recommended investment program(s) as indicated by the total point score. I/we understand and assume responsibility for any additional risk inherent with the investment program(s) I/we have chosen.

Client initials: _____

Joint account holder initials: _____

Additional Information: (Any helpful information regarding investment objectives, risk tolerance or financial needs that we should be aware of.)

CLIENT ACCOUNT AGREEMENT

Investment Supervisory Services (“Investment Supervisory Services”)

Client will have Weatherstone Capital Management, Inc. (*WCM*) manage *Client*'s account or accounts (collectively referred to as *Account*) and *Account* assets under the terms of this *Agreement*.

WCM will obtain financial information from the *Client* in the above referenced Investment Profile Questionnaire, which is incorporated into this *Agreement* and is used by *WCM* to establish investment program(s) for *Client*'s *Account*. *Client* will also provide other such supporting documentation and financial information as *WCM* may reasonably request.

It is understood and agreed by *Client* that *WCM* will provide *Investment Supervisory Services* under this *Agreement* based solely upon information supplied to *WCM* by *Client* through *WCM*'s Investment Profile Questionnaire and other requested support documentation.

Client represents and warrants to *WCM* that all information provided to *WCM* will be substantially accurate and complete. In addition, ***Client* agrees to promptly inform *WCM* of any material change in *Client*'s financial circumstances, requirements or objectives, and respond to reasonable requests by *WCM* for information regarding any changes. *Client* also agrees that *WCM* shall not have any liability for *Client*'s failure to promptly inform *WCM* of material changes in *Client*'s financial circumstances which may affect the manner in which *Client*'s assets are managed by *WCM*.**

Client may request special limitations and prohibitions on the types of investments and specific securities that *WCM* may manage within *Client*'s *Account*.

WCM will be available to *Client* for consultations regarding management of *Client*'s *Account*.

Discretionary Trading Authorization

Client authorizes *WCM* to use discretionary trading authority to buy, sell and direct investments within the *Account*, including reinvestment of proceeds from assets sold and income attributable to the *Account*, in cash, cash equivalents, bonds, shares of common or preferred stock, unit investment trusts, ETF's, mutual funds, variable annuity sub accounts and variable life insurance sub-accounts, or other alternative securities selected by *WCM*. *Client* appoints *WCM* as *Client*'s agent and attorney-in-fact with respect to this trading authorization. This discretionary trading authorization shall remain in full force and effect and be relied upon by *WCM* until a written notice of termination or change is received by *WCM* from *Client*. Under no circumstance will *WCM* effect transactions for *Client* which *WCM* believes will violate state or federal law, rules or regulations, or any regulatory or self-regulating body rules or regulations.

Custodian and Broker-Dealer Expenses

All transactions will be executed through a custodian and/or broker-dealer (“*Custodian/Broker-Dealer*”) of record on the *Account* that *Client* establishes under this *Agreement*.

WCM has considered a number of factors including, without limitation, best execution, the overall direct net economic impact on *Account* assets (including commissions which may not be the lowest available, but which will generally not be higher than the prevailing competitive range), the financial stability of the *Custodian/Broker-Dealer*, the efficiency with which transactions are effected, the ability to effect transactions where complicating factors are involved, the availability of the *Custodian/Broker-Dealer* to stand ready to execute possible difficult transactions in the future. *Custodian/Broker-Dealer* fees, expenses, and commission charges are separate and distinct from the fees charged by *WCM*.

WCM may manage the *Account* through variable annuity and variable life insurance sub-accounts held directly at insurance company *Custodian/Broker-Dealers*, or mutual funds held directly at mutual fund company *Custodian/Broker-Dealers*.

Management Fees

Client will compensate *WCM* for all services furnished under this *Agreement* by the payment of fees ("*Management Fees*") that are based upon the *Client's* assets under management in the *Account*. Custom portfolios may be available. The rate for custom portfolios is typically higher than for standard portfolios. Currently the primary custodian where assets in the *Account* are held and traded is **Trust Company of America (TCA)**.

Fees for Weatherstone Capital Management

Assets Under Management	Tactical Programs at TCA	Strategic-Active Tactical-Foundation Programs at TCA	Strategic-Passive Programs at TCA	Non-TCA Portfolios
Under \$1,000,000	0.95%	0.75%	0.30%	1.00%
Over \$1,000,000	Negotiable	Negotiable	Negotiable	Negotiable

Fees for Referring Advisors are at the discretion of the Referring Advisor up to 1%. The total fee must not exceed 1.95% at Trust Company of America and 2.00% at TD Ameritrade.

Additional Management Fee Instructions (If nothing is written below the Referring Advisor will receive 1%):

Annual Management Fees listed above are payable quarterly, in advance. *Management Fees* will be deducted from *Client's Account* by *WCM* unless *Client* wishes to be billed directly and the option below is initialed and dated by *Client*.

Management Fees are due at the beginning of each calendar quarter, based on the *Account* valuation on the last business day of the prior calendar quarter. An *Account* that is opened mid-quarter will be charged an initial *Management Fee* that includes a portion of the fee that is pro-rated for the number of days that the *Account* is open in the first quarter. An *Account* that is terminated mid-quarter will be charged a *Management Fee* that is calculated on a prorated basis for the number of days the *Account* is open in the quarter. Any refund due will be refunded to the account or directly to the client.

Deduction of Management Fees and Trade Execution Charges

Client authorizes *WCM* and *Custodian/Broker-Dealer*, to deduct all *Management Fees* and trade execution charges from *Client's Account*. *Client* agrees to indemnify and hold *WCM* harmless from all liabilities and costs, including attorney fees, which *WCM* may incur by acting in reliance upon this authorization. This authorization will remain in full force and effect until revoked by *Client* in writing, delivered personally or sent by registered or certified mail to *WCM*. *Client* further understands that revocation of this authorization could result in the termination of the *Account*.

Notice

Information presented below is applicable only for clients opening accounts at Trust Company of America (TCA). If your account is being opened with another custodian, please disregard.

All mutual funds and variable annuities have internal management fees and may charge 12b-1 fees, both of which are deducted from the Net Asset Value of the funds. In addition, some mutual funds, variable annuities, and brokerage accounts may charge a short-term redemption fee or nominal fee to process transactions. The *Client* acknowledges that these fees may be direct and indirect expenses of their account.

Prospectus Delivery

You can elect to waive the right to receive prospectuses for mutual fund purchase transactions, and direct instead that such prospectuses be delivered to Weatherstone Capital Management. If the check box below is left empty, the prospectuses will be delivered to the client.

I/We elect to waive the right to receive prospectuses mailed by Trust Company of America, and direct that prospectuses be delivered to Weatherstone Capital Management.

Trust Company of America (TCA) expenses

For clients with accounts being established at TCA, there are additional custodial, trading and other charges that can be assessed by TCA that are the responsibility of the client.

Annual custodial fee (based on account value): \$0 - \$249,999 = 0.19% \$250,000 - \$499,999 = 0.17%
\$500,000 - \$999,999 = 0.11% \$1,000,000 and above = 0.05%

The custodial fee is reduced by any 12b(1) fees paid by funds held within a portfolio up to 0.19%. Each client account is subject to a minimum annual custodial fee of \$50 by Trust Company of America. Currently there are no ETF charges due to the assets under management with TCA, however this can change in the future and such expenses would be the responsibility of the client. Additional fees such as Check Distribution, Account Close Fee, and others may apply. Contact the Referring Advisor or Weatherstone Capital Management for more information on additional fees.

TD Ameritrade (TD) expenses

For clients with accounts being established at TD, there are additional custodial, trading and other charges that can be assessed by TD that are the responsibility of the client.

Annual custodial fee: 0.08% or the stated TD Ameritrade commission schedule.

Additional fees such as Check Distribution, Account Close Fee, and others may apply. Contact the Referring Advisor or Weatherstone Capital Management for more information on additional fees.

To close an account or change strategies all funds in the account must be traded on the same business day. If you decide to liquidate your account, some mutual funds may have short-term redemption fees that are the clients' responsibility. Consult with your investment advisor in advance when making these decisions.

Alternate Billing (If applicable)

Complete only if Client wishes to be billed by credit card, rather than deducting the amount from the Account. By signing this Agreement and initializing below Client authorizes WCM to make regularly scheduled deductions for Management Fees on the Account. This authorization allows WCM to charge the credit card and allows for future quarterly allowances, and will remain in full force and effect until revoked by Client in writing, delivered personally or sent by registered or certified mail to WCM. Client further understands that revocation of this authorization could result in the termination of the Account.

Credit Card Type: (Please circle one) Visa MasterCard

Credit Card Number: _____ Expiration Date: _____ / _____

Name as it appears on Card: _____

Billing Address _____ City _____ State _____ Zip _____

Weatherstone Capital Management will be notified if there are any changes that need to be made to the information listed above.

Client Initials: _____ (Authorizing direct billing)

Capital Appreciation Compensation

WCM shall not be compensated on the basis of a direct share of capital appreciation or capital gains on any portion of the funds of the Account except as provided by federal law and in certain state jurisdictions under specific conditions.

Custody

Assets to be managed by *WCM* remain in the name of *Client* and *WCM* shall not take or receive physical possession of the assets under management. *WCM* shall have only the authority to direct purchases and sales, and deduct *Management Fees* authorized by *Client*. *Client* retains the authority to transfer assets into and out of the *Account*. *Client* has the right to hypothecate the shares in the investments that they own.

Reports and Statements

WCM may provide quarterly performance reports and access to interim *Account* valuations to *Client*. On no less than a quarterly basis, *Client* will receive a statement from the *Custodian/Broker-Dealer* for transactions and holdings.

Acceptance of Risks

There are risks inherent in managing assets, including but not limited to the following:

- *Client* acknowledges that *WCM* makes no promises, representations or warranties that any of *WCM's* services will result in a profit to *Client*, and that all gains, losses and tax liability resulting from managing the *Client's Account* is the sole responsibility of the *Client*.
- *Client* acknowledges that the past performance of *WCM's* services should not be construed as an indication of future results, which may prove to be better or worse than the past.
- The authority of *WCM* will not be affected by the fact that it may execute transactions with respect to securities for its own or other accounts that it manages. Such transactions may be identical or similar to transactions for the *Client's Account* at the same or different times.
- *WCM* may rely on information that is believed reliable, and *WCM* shall not be held accountable or liable for any loss suffered by the *Client* due to such information.
- To the extent permitted under applicable law, *Client* agrees that *WCM* will not be liable to *Client* for any losses incurred by *Client* that arise out of or are in any way connected with any recommendation or other act or failure to act of *WCM* under this *Agreement*, including, but not limited to, any error in judgment with respect to the *Account*, so long as such recommendation or other act or failure to act does not constitute a breach of *WCM's* fiduciary duty to *Client*. Anything in this provision or otherwise in this *Agreement* to the contrary notwithstanding, however, nothing herein shall constitute a waiver or limitation of any rights that *Client* may have under any Federal or state securities laws.
- *Client* shall indemnify and defend *WCM* and its directors, officers, employees and agents and hold them harmless from and against any and all claims, losses, damages, liabilities and expenses, as they are incurred, by reason of any act or omission of *Client* or any *Custodian/Broker-Dealer*, agent or other third party selected by *WCM* in a commercially reasonable manner or selected by *Client*, except such as arise from *WCM's* breach of fiduciary duty to *Client*. *WCM* will use reasonable efforts to insure that the designated *Custodian/Broker-Dealer* execute purchases and sales transactions in accordance with *WCM's* instructions. *WCM* accepts no responsibility for errors, communication malfunctions, technical problems or operational delays beyond its control.
- *WCM* may be unable to act in its full capacity if *Client* fails to notify *WCM*, in writing, regarding any withdrawal or addition to the *Account* or any applicable change in registration. *Client's* failure to notify *WCM* will absolve *WCM* of any related resulting liability.
- If *Client* has selected one or more investment programs that differ from what *Client's* Investment Profile Questionnaire score would indicate, *Client* assumes the additional responsibility and risk inherent with those investment programs.
- *Client* acknowledges that they have reviewed the risks associated with their chosen investment program(s) as described in *WCM's* ADV Part II.

Other Expenses

All mutual funds, variable annuities and variable life insurance policies have internal management fees and may charge 12b-1 fees, both of which are deducted from the value of the funds. In addition, some mutual funds, variable annuities, variable life insurance policies and brokerage accounts may charge custodial fees, short-term redemption fees, rider/endorsement fees or other nominal fees. *Client* acknowledges that these fees may be direct and indirect expenses of the *Account*.

Proxies

It is expressly understood by *Client* that *WCM* will not exercise proxy-voting authority over the securities held in the *Account*. The obligation to vote *Client's* proxies shall at all times rest with *Client*. However, *Client* may contact *WCM* for advice or information about a particular proxy vote. *WCM* shall not be deemed to have proxy-voting authority solely as a result of providing such advice to *Client*. Should *WCM* inadvertently receive proxy information for a security held in the *Account*, such information shall be immediately forwarded to *Client*.

ERISA Client (if applicable)

If this *Agreement* is entered into by a trustee or other fiduciary, including but not limited to someone meeting the definition of "fiduciary" under the Employee Retirement Income Security Act of 1974 ("*ERISA*"), such trustee or other fiduciary represents and warrants that *Client's* participation in this *Agreement* and *Account* is permitted by the relevant governing instrument of the plan, and that *Client* is duly authorized to enter into this *Agreement*.

- *Client* agrees to furnish *WCM* with such documents as *WCM* shall reasonably request with respect to the foregoing. *Client* further agrees to advise *WCM* of any event which might affect this authority or the validity of this *Agreement*.
- *Client* additionally represents and warrants (i) that the governing instruments provide that an "investment manager" as defined under *ERISA* may be appointed, and (ii) that the person executing and signing this *Agreement* on behalf of *Client* is a "named fiduciary" (as defined under *ERISA*) who has the power under the plan to appoint an investment manager.
- *WCM* represents and warrants to *Client* that:
 - *WCM* is registered as an investment advisor under the Investment Advisers Act of 1940.
 - If *Client* is subject to *ERISA*, *WCM* understands that *WCM* shall be a "fiduciary" to *Client*, as that term is defined in section 3(21)(A) of *ERISA*.

Corporate Client (if applicable)

If *Client* is a corporation, the party executing this *Agreement* represents that execution of this *Agreement* has been duly authorized by appropriate corporate action. *Client* agrees to furnish *WCM* with such documents as *WCM* shall reasonably request with respect to the foregoing. *Client* further agrees to advise *WCM* of any event which might affect this authority or the validity of this *Agreement*.

Rescission

Client may rescind this *Agreement* within five business days of its execution without penalty or cost. However, any investment activity in *Client's Account* prior to receipt of the rescission notice will be at the sole risk of *Client*.

Effective Date

WCM's responsibility for managing the *Client's Account* begins when all initial transactions have cleared and the related assets have been credited to the *Client's Account* at the *Custodian/Broker-Dealer*, and *WCM* has been authorized by the *Custodian/Broker-Dealer* to execute transactions in the *Account*.

Implementation

Client acknowledges that *Client* understands that associates of *WCM* may be licensed as registered representatives with a registered broker/dealer. If *Client* executes investment transactions through a registered representative's broker/dealer, the licensed registered representative may receive commissions. *Client* is under no obligation to execute any investment transactions through a registered representative's broker/dealer.

Assignment

No assignment (as that term is defined by the Investment Advisers Act of 1940) of this *Agreement* shall be made by *WCM* without *Client's* consent. *Client* shall be presumed to have given such consent if *WCM* gives written notice of its intention to assign this *Agreement* (1) specifying the name and address of the proposed assignee, and (2) enclosing its required disclosure documents, and *Client* shall not have objected in writing to such assignment within a reasonable time, not exceeding 60 days, after the giving of such notice by *WCM*.

Notices

All communications under this *Agreement* must be in writing and will be deemed duly given and received when delivered personally, sent by facsimile, sent by overnight courier, sent by registered or certified mail, or 4 business days after sending by postal mail to the address of record on the account. Communications to *WCM* should be sent to the address indicated on this *Agreement* or any other address designated by notice subsequent to this *Agreement*.

Severability

In the event that any portion of this *Agreement* is found to be unenforceable, the balance of the *Agreement* shall be enforced as if such portion had been severed.

Governing Law

This *Agreement* is accepted in, and shall be governed by and construed in accordance with the laws of the State of Colorado, and constitutes the entire understanding between the parties regarding *WCM's* services.

Agreement Amendments

WCM is permitted to amend this *Agreement* by notice to *Client*, with the amendment becoming effective 60 days after notice of the change unless *Client* elects to terminate this *Agreement* before the end of the 60 days.

Termination

This *Agreement* shall continue until terminated by either party upon written notice. *Client* acknowledges and agrees that, due to processing time needed to disengage service in the *Account*, after notice of termination is received, further transactions in the *Account* may be made by *WCM*. Instructions received by *WCM* will be acted upon within 5 business days. This *Agreement* is subject to termination by *WCM* if *Client* reallocates or enters transactions in the *Account* without *WCM's* knowledge and consent.

Arbitration

To the extent not inconsistent with applicable law, any controversy or dispute arising out of or relating to *Client's Account*, or to any transactions(s), or any dispute with *WCM* with any of the officers, directors, agents, and or employees of *WCM* acting on behalf of *Client's Account*, or a dispute over this *Agreement* or any breach thereof, will be settled by arbitration in accordance with the Commercial Arbitration Rules of the American Arbitration Association, (AAA) and judgment upon the award rendered by the arbitrator(s) may be entered in any court having jurisdiction thereof. Venue for the arbitration proceeding shall be in the jurisdiction closest to the party against whom action is being taken. Discovery shall not be permitted except as required by the rules of the AAA. The arbitration award shall not include factual findings or conclusions of law. The parties understand that any party's right to appeal or to seek modification of any ruling or award of the arbitrator is severely limited. The cost of the arbitration panel will be shared equally by the parties. All other expenses arising out of arbitration will be the sole responsibility of the individual parties.

Referral Advisor Relationships (If applicable)

WCM's Investment Supervisory Services may be marketed through independent financial advisors ("*Referral Advisors*") and their representatives ("*Referral Advisors Reps*") who have no advisory capacity regarding *WCM's Investment Supervisory Services* and the management of *Client's Account*. *Client* acknowledges that *Referral Advisor Reps* may be registered representatives of broker/dealers, and as such may receive commissions and/or other income from the broker/dealers for the sale of mutual funds, variable annuities, variable life insurance, and other investments that may be managed by *WCM*. *Referral Advisors* and *Referral Advisor Reps* may receive a portion of *WCM's Management Fees*.

Attached to this agreement and incorporated by reference is the Referral Advisor Disclosure Statement that defines the terms of the relationship between *WCM*, and *Referral Advisors* and *Referral Advisor Reps*. (If applicable.)

REFERRING ADVISOR DISCLOSURE STATEMENT

And Acknowledgment of Receipt of Disclosure Documents pursuant to the Investment Advisers Act of 1940, Rule 206 (4)-3

Referring Advisor Name _____
(Name of Investment Advisor Representative)

Referring Advisor Firm _____

Referring Advisor/Investment Advisor Relationship

The Referring Advisor name above, acts as a Sales Representative for the investment advisory services provided by Weatherstone Capital Management, Inc. (WCM). Except for contractual relationship pursuant to which the Referring Advisor acts as Sales Representative for WCM, and receives a solicitation fee for introducing Investor to WCM's services and rendering administrative services to Investor clients on WCM's behalf, the Referring Advisor is not affiliated with WCM. The Referring Advisor renders no investment advice on behalf of WCM but is responsible for updating as necessary Client Applications, Investment Profiles, and other financial information and suitability information for each client so that WCM may continue to make appropriate management decisions on the management of client accounts.

Advisor Compensates Referring Advisor

The Referring Advisor has a financial interest in the selection of the investment advisor in that they will receive a solicitation fee from WCM. The Referring Advisor Firm with whom the Referring Advisor is affiliated will receive up to 90% of the investment management fees received by WCM from clients referred by the Referring Advisor. There is no differential in the fees charged to the client by WCM which is attributable to Referring Advisor/IAR's agreement with WCM.

Costs to Investor

In addition to the Solicitation fee, the Referring Advisor may receive a commission from the investment company where the assets are held. There may be a sales charge and/or redemption fee charged to the Investor by the investment company related to the Investor's purchase or sale of investments. Any sales charge or redemption fee associated with an investment chosen by the Investor or subsequently purchased on behalf of the Investor by WCM, at WCM's discretion, is explained in the Fund Prospectus. The investor will also pay for any custodial and trading fees charged by some investment companies and custodians. The Referring Advisor agrees to fully disclose the amount and nature of any sales charge or other fees to the Investor.

Officers, directors, and employees of WCM, Referring Advisors and other Investors may be charged less than the full fee, upon approval by the management of WCM.

The undersigned Referring Advisor hereby represents that he/she has made full disclosure in the foregoing document pursuant to the requirements of Rule 206(4)A3.

Referring Advisor (Signature)

Date

ACKNOWLEDGMENT OF RECEIPT:

The undersigned hereby acknowledges(s) receipt under separate cover of a copy of the Advisor's Disclosure Statement, (Part II Form ADV); and the undersigned also acknowledge(s) receipt of the forgoing Referring Advisor Disclosure Document.

Investor Signature _____

Date

Joint Investor Signature _____

Date

CONSENT FOR ELECTRONIC DELIVERY

This is to certify that I consent to Weatherstone Capital Management delivering all communications and documents by electronic means rather than the mailing of paper copies. I acknowledge possessing the technical ability and resources to receive electronic delivery of documents. By consenting to the electronic delivery of all information relating to my Account, I authorize you to deliver all communications to me by email at the email address specified by me. Delivery may include notice for me to access a web site. I understand that I may revoke my consent for electronic delivery of required regulatory documents at any time by providing written notice to Weatherstone Capital Management.

Please sign your name here: _____

Please print your name here: _____

Please print email address here: _____

Please date here: _____

Please sign this Consent Form and return to Weatherstone Capital Management.

[If Weatherstone Capital Management delivers documents to clients that include sensitive private information (such as account numbers), then such documents may now, or in the future, be required to be delivered via encrypted transmission. In such cases, the above electronic consent should advise Client of the technology specifications required to open particular encrypted messages. If Weatherstone Capital Management's documents do not include required regulatory notices or information, then Weatherstone Capital Management may not be required to receive consent from the client.]